



Roma Responsible Budgeting Country's Budget Review

Albania & Kosovo*

Rome, November 8, 2018

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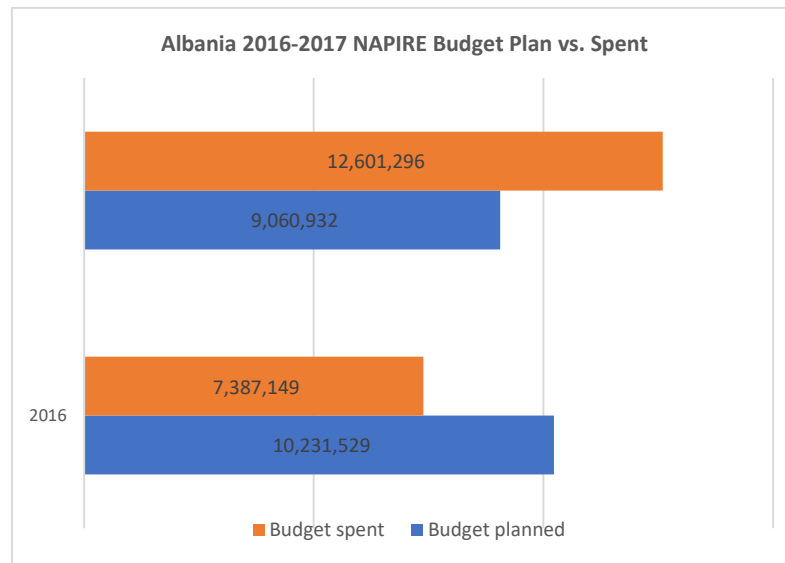
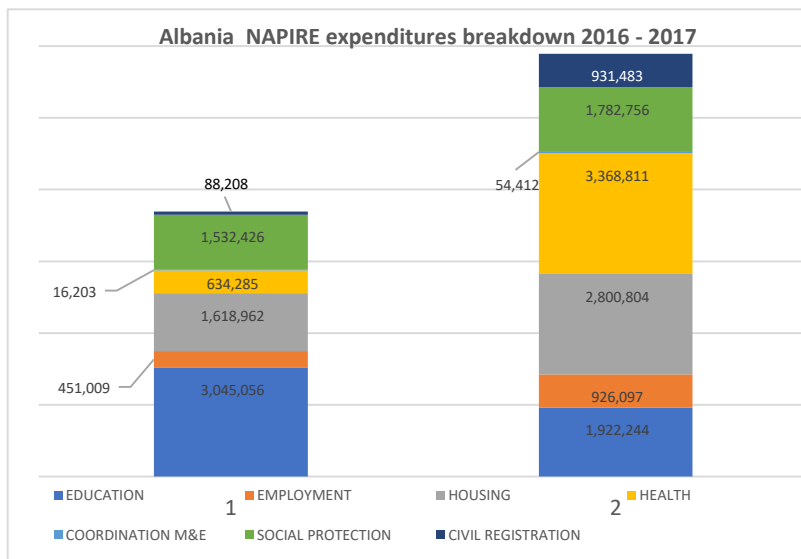
*This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.

Albania - Budget Programs expenditures relevant to Roma Integration

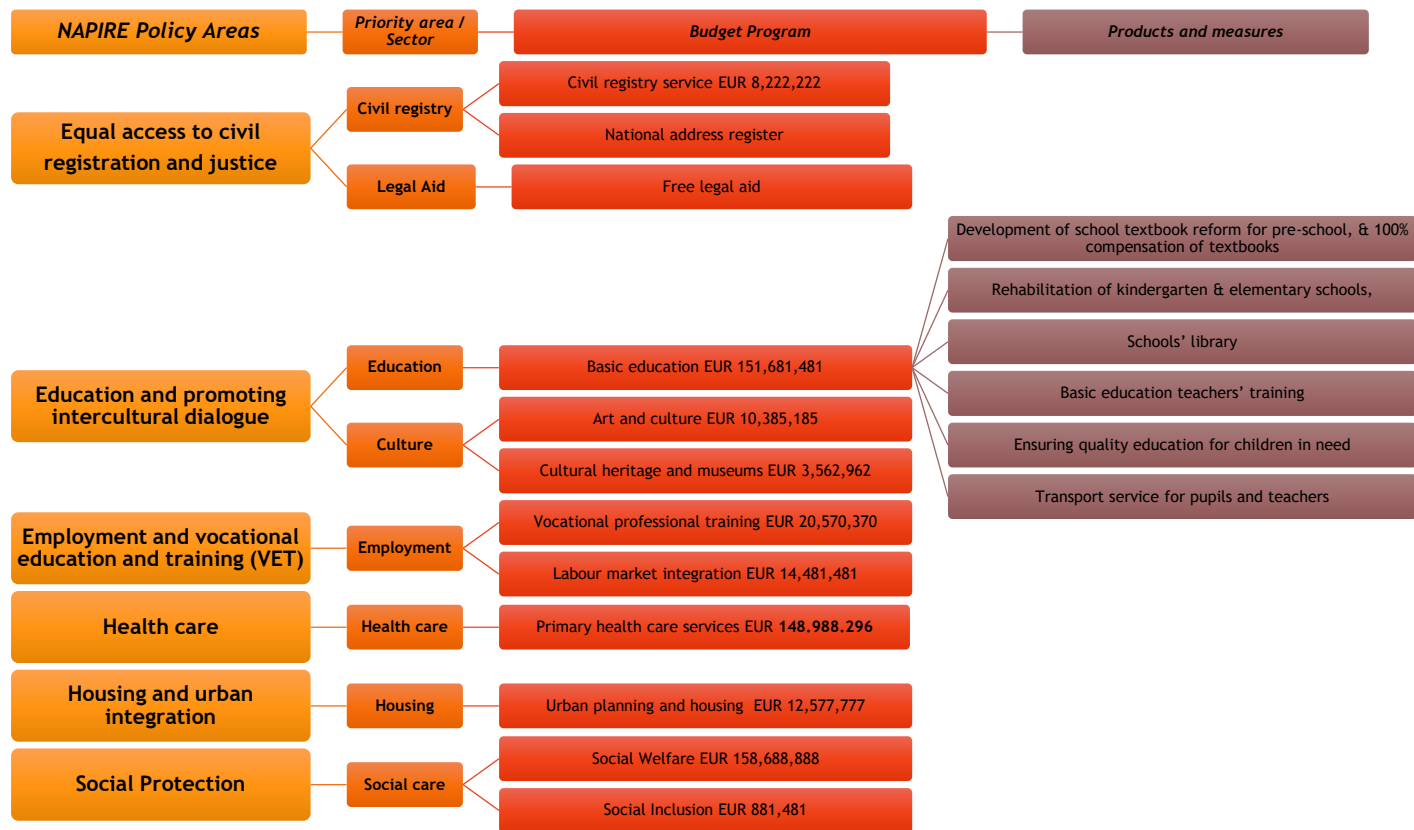
- Health care
 - 07220 - Primary care services
 - 07330 - Secondary care services
 - 07450 - Public Health Services
- Education -
 - 09120 - Basic Education
 - Development of school textbook reform for pre-school, & 100% compensation of textbooks
 - Rehabilitation of kindergarten & elementary schools,
 - Schools' library
 - Basic education teachers' training
 - Ensuring quality education for children in need
 - Transport service for pupils and teachers who work more than 5km from their place of residence.
- Civil registry
 - 01170 - Civil registry service
- Employment
 - Vocational professional training,
 - Labour market
- Housing
 - Urban planning and housing
- Social care
 - Social welfare
 - Social inclusion
- Culture
 - Art and Culture
 - Cultural heritage and museums

Budget support per sectors, 2016-17

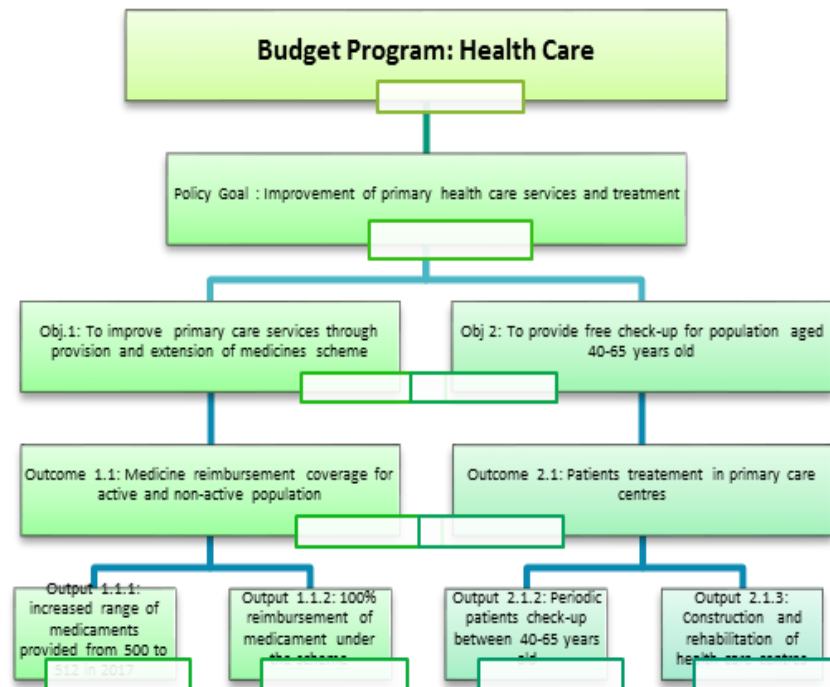
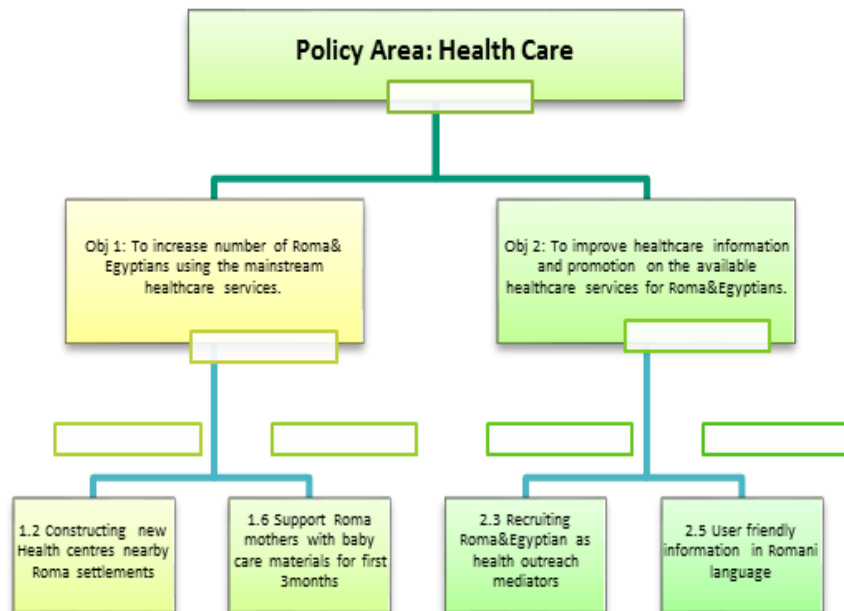
- disproportional allocation within a given sector from 2016 to 2017.
- expenditure rate in 2016 was 72.2% of planned budget, in 2017, the expenditure rate was at 139% of planned budget



Alignment of NAPIRE “policy areas” with sector budget programs



Priority area 'Health Care' and corresponding 'budget program'



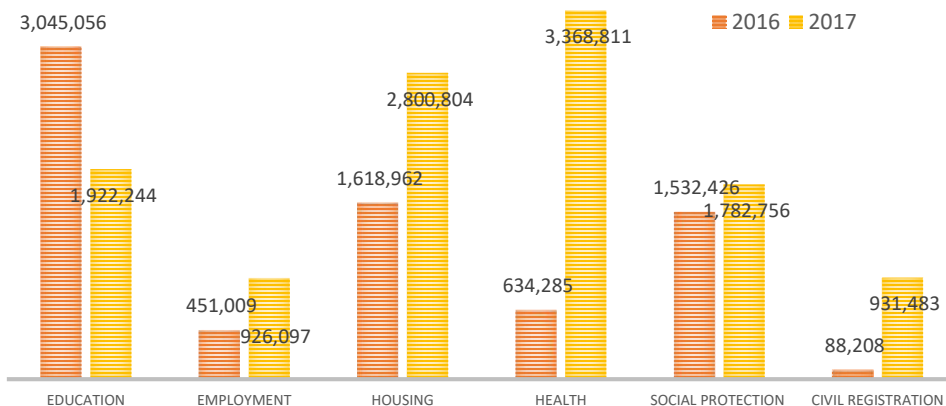
Challenges to identify budget allocations for Roma integration

- Budget programs do not present disaggregated budget items, i.e. budget allocation for Roma integration.
 - Therefore, it is quite difficult to extract budget amounts,
- Due to budgeting practice budget allocations are conditioned by the 'programme budget ceiling'
 - The respective measures' & products' are often under budgeted.
 - Due to budgetary constraints, budget ceilings continue to drive activity planning & budgeting.
- Budget programs must be detailed with a number of key performance indicators,
 - i.e. to assign target indicators for each beneficiary category and calculate budget allocations given the unit cost per category.
- it would be advisable that budget allocations are proposed:
 - By both, planning & budgeting specialists in line ministries

Budget distribution in line with NAPIRE

- Increasing and decreasing trends between 2016-17

ALBANIA PRIORITY AREAS BUDGET 2016-2017



Priority areas	2017 Spent budget (Euro)	% of Ministry's Budget	% of relevant Budget Program/s	Relevant BPs
Education	1.922.244	0,75%	1,27%	Basic Education
Housing	2.800.804	3,24%	22,27%	Urban planning and housing
Health care	3.368.811	1,36%	4,12%	Primary care service Public health services
Employment and Social Protection	2.708.853	0,32%	0,91%	Vocational professional training Labour market integration Social welfare Social inclusion
Civil registration	931.483	0,64%	11,33%	Civil registry service

2017 budget spent vs. planned budget:

- Education 66.6%,
- Employment, 120%,
- health care 444%,
- Social protection, 114%,
- Social housing 154%,

RI objective in the BP expenditure

- Budget Organization: Ministry of Education
- Budget Program: Basic education
- Policy Goal of the BP: Qualitative and inclusive education aimed at meeting European standards. Increase of access to pre-school; Reduction of school children drop-out rates; ... Attracting Roma and Egyptian children to pre-school and basic education....
- Objectives of the BP: Improving the quality of textbooks, and compensation at 100% rate for Roma, Egyptian pupils of I-IX grade.... 3). Provision of quality education for children in need (increase enrolment rate with 250 Egyptian and Roma children, and with 2% for disabled students...).
- Project: 00116 Expenditures outside the projects
- Product A of the BP: Development of school textbook reform for pre-school, as well as 100% compensation of textbooks for socially vulnerable (in-need) pupils, including Roma and Egyptian...
- Objective of the Product A: ...Year +2: Provision of quality education for children in need (increase of Egyptian and Roma students' enrolment with 200; and increase with 2% for disabled students and families in need; increase of 5% of the number of assistant teachers for disabled students...

Budget allocation	2016	2017	2018
No of beneficiaries	96000	96000	96000
Total Expenditures	ALL 278,000,000	ALL 280,000,000	ALL 280,000,000
Cost/expenditure per unit	ALL 3,000,000	ALL 3,004,600	ALL 3,004,600

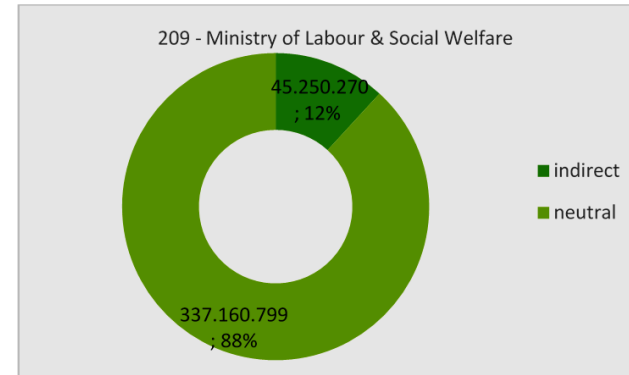
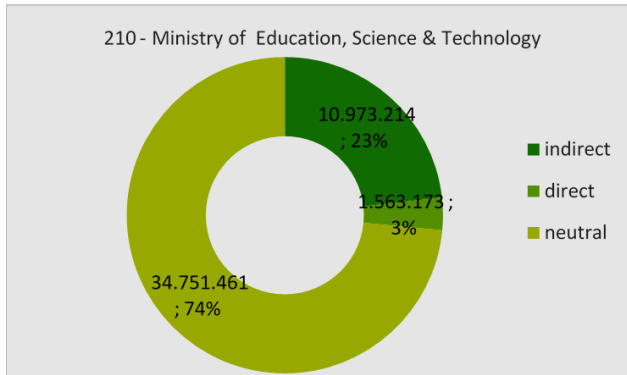
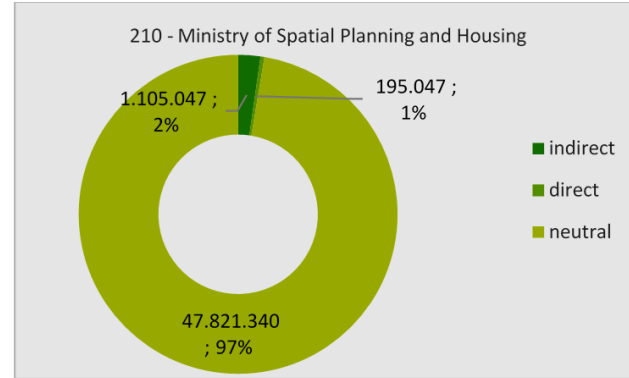
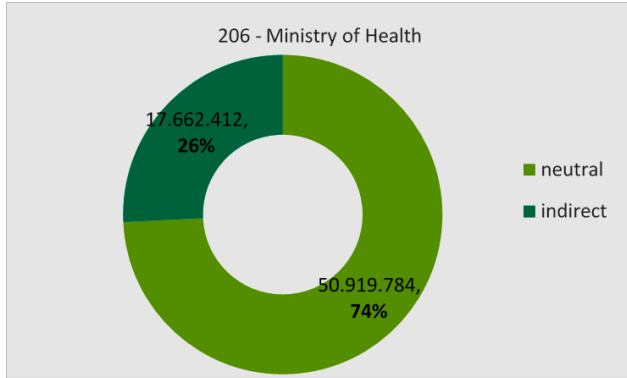
Recommendations

- MoFE introduce key mandatory criteria in the MTBP Guideline,
 - key performance indicators (KPI) during budget preparation is required by all line ministries,
 - demanding strong requirement for Roma responsible budgeting and reporting
- Line ministries to initiate budget segregation within “Budget Programs”
 - Either by setting sub-programs, or products, KPIs, etc.
- Establish system for data collection and data segregation to help with defining performance indicators,
- Train and build capacities of officials to apply, implement, monitor and report Roma responsible budgeting across sectors, across budget programs,
 - Improve the process of budget preparation, by demanding mandatory consultation with civil society, citizens, and Roma rights advocates.

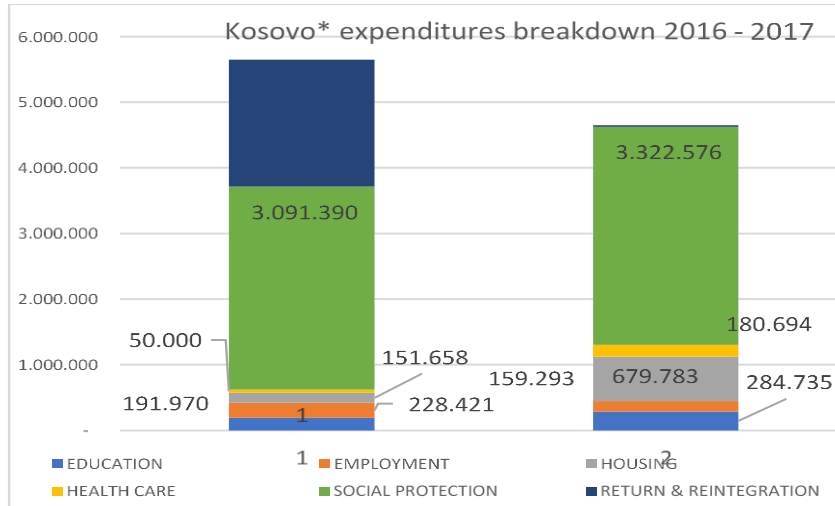
Kosovo* - BP expenditures relevant to Roma Integration

- Employment
 - Labour & labour issues
 - Employment division
 - Professional training
- Social welfare
 - Social assistance scheme
 - Social service
 - Payments for communes with social issues
- Education
 - Pre-University education
 - Training of teachers
 - Development of curricula
 - Basic education
 - Education for children in need
 - Capital investments for schools
- Health care
 - Primary care service
 - Community care for communities in need
 - Support programme
 - Public health programme
 - Capital investments
 - Support health services for Roma & Ashkali
- Civil registry
 - Civil registry agency
 - Agency of civil registry
- Housing
 - Spatial planning and housing
 - Construction & renovation of houses for repatriated people

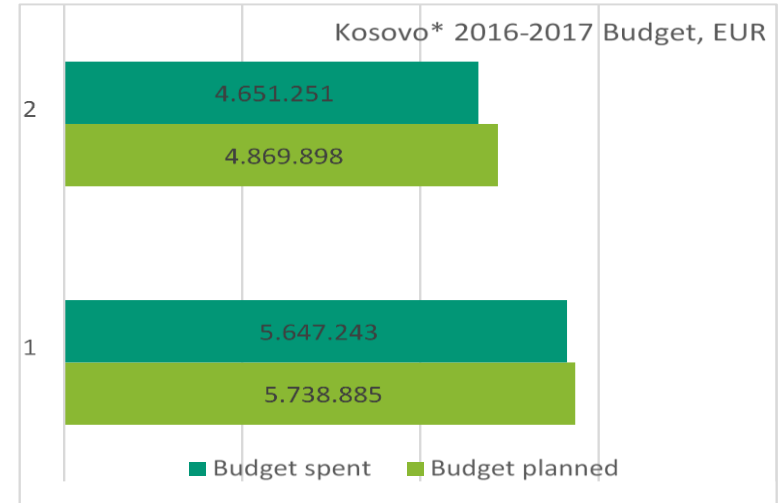
Budget amounts with a direct, indirect & neutral impact on Roma population



- There's disproportional allocation within a given sector from 2016 to 2017.



- High average expenditure rate: 98% in 2016, & 95.5% in 2017.



Budget expenditures of NAP

- Table shows the % of expenditures vs. line ministry budget for 2017 and also versus respective Budget Program.

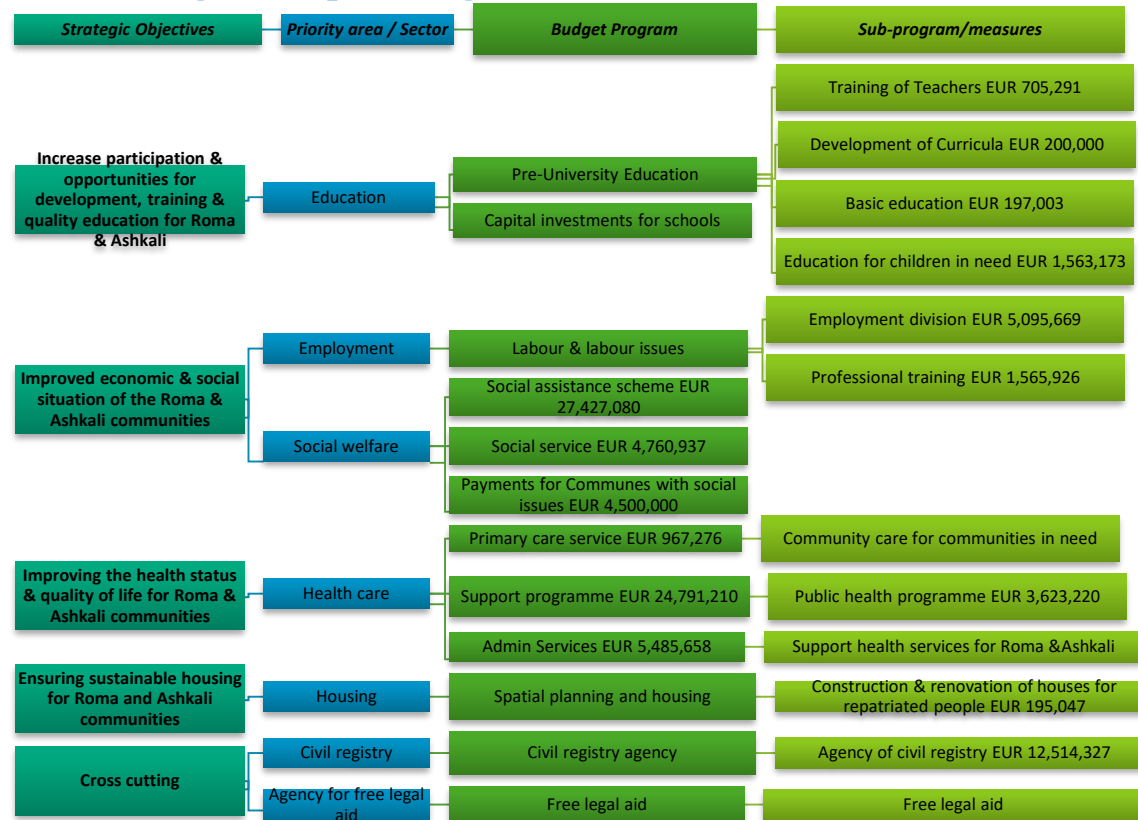
Priority areas	Planned budget	Spent budget	% of Ministry's Budget	% of relevant Budget Program/s	Relevant BPs
EDUCATION	366.800	284.735	0,60%	15,54%	Pre-university Education
EMPLOYMENT	135.123	159.293	0,05%	22,72%	Labour issues
HOUSING	681.635	679.783	1,38%	42,29%	Spatial Planning and Housing
HEALTH CARE	197.594	180.694	0,32%	1,67%	Primary care service Support programme services
SOCIAL PROTECTION	3.488.746	3.322.576	8,54%	10,43%	Social assistance scheme Social service Payments for Communes with social issues

- Expenditures in the health care are limited to 1,67% of budget program on primary care services,

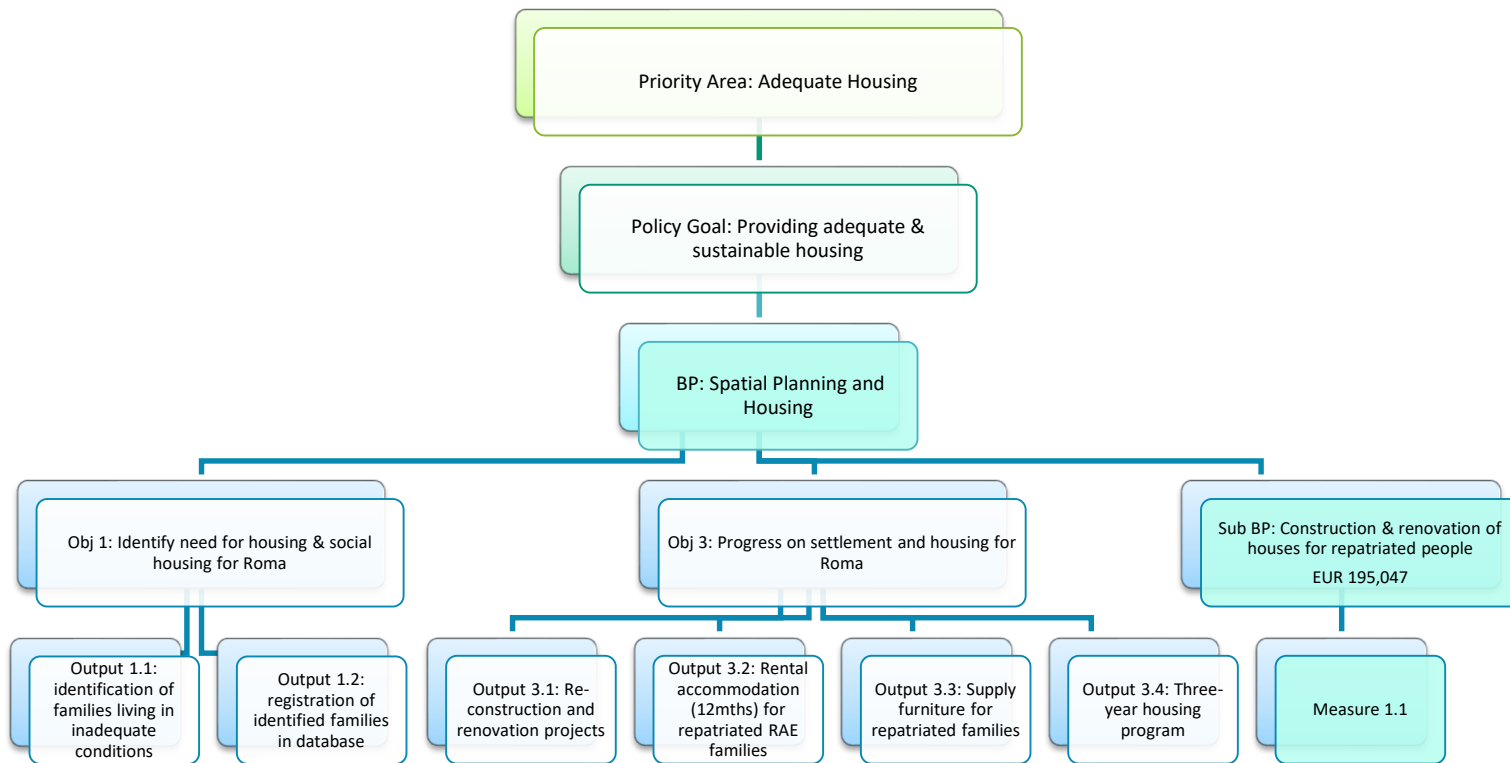
- The NAP budget forecast of EUR 2,898,000 relies on State funds for only 16.1% of it,

- the rest has to be ensured from donors' funds.

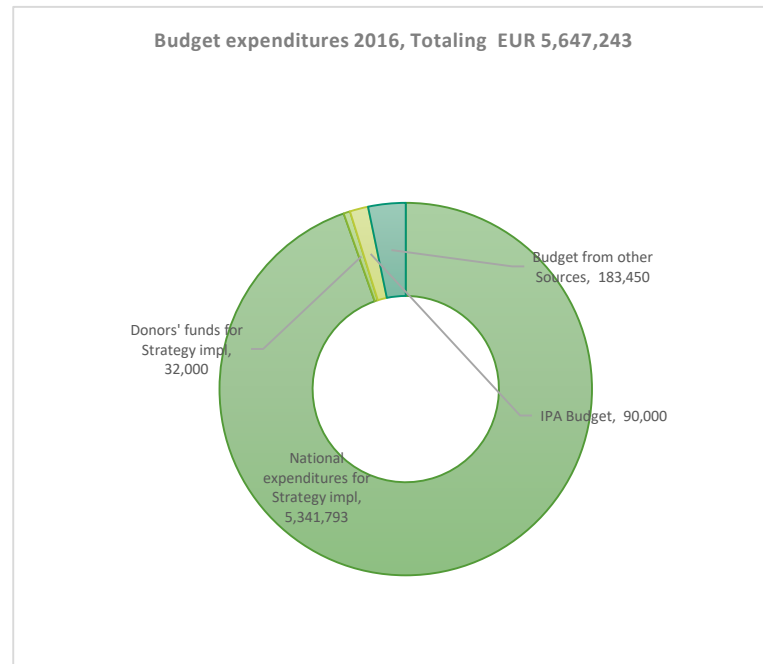
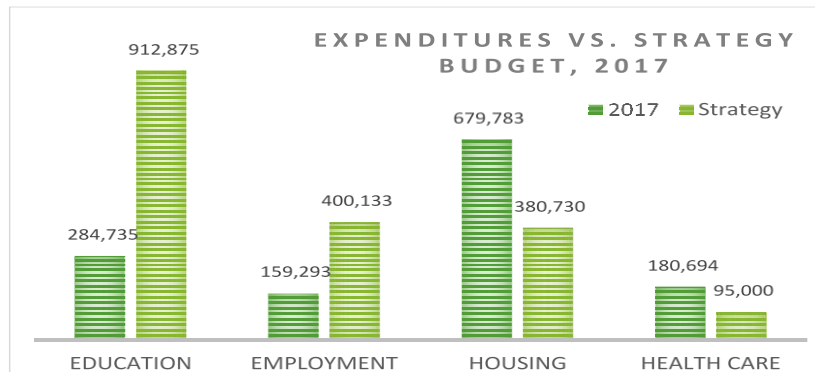
Alignment of NAP “policy areas” with sector budget programs



Priority area 'Housing' & corresponding 'budget program'



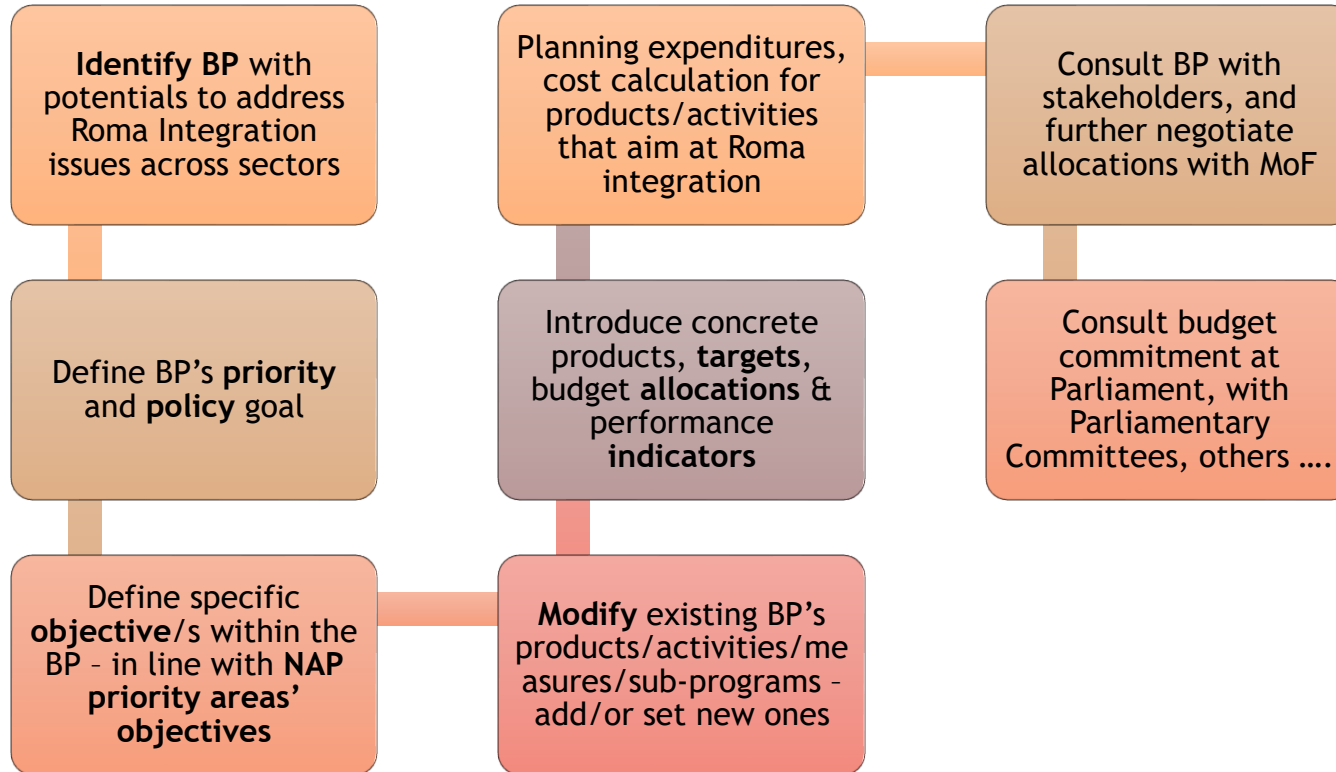
NAP budget and expenditures



Recommendations

- MoF introduce key clause in the Budget Circular,
 - RI clause has to be supported by specific law or decision of the Government,
 - demanding strong requirement for Roma responsible budgeting and reporting at times of budget hearings,
- Line ministries to initiate budget segregation within “Budget Programs”
 - by setting sub-programs, and at a latter stage, KPIs.
- Establish system for data collection and data segregation to help with defining performance indicators,
- Train and build capacities of officials to apply, implement, monitor and report Roma responsible budgeting across sectors, across budget programs,
 - Improve the process of budget preparation, by demanding mandatory consultation with civil society, citizens, and Roma rights advocates.

Proposed approach to improve budget allocations



Questions?

- Thank you!